

PCGS SHOW SUBMISSION AGREEMENT

(PLEASE WRITE LEGIBLY - PCGS WILL NOT BE RESPONSIBLE FOR INCOMPLETE OR INACCURATE ORDERS. FAILURE TO COMPLETE SUBMISSION FORM WILL DELAY ORDER.)

PCGS PO BOX 9458, Newport Beach, CA 92658 800-447-8848 • Fax: 949-567-1253 PCGS.com • info@PCGS.com

SUBMISSION GUIDELINES

Following these directions can help expedite your order. This submission form is for Show submissions only.

- 1. Please provide your PCGS Collectors Club member name and number or your PCGS Authorized Dealer name and number. If you're not currently a member, select the appropriate box to purchase an annual recurring membership: Silver (\$69), Gold (\$149) or Platinum (\$249). Please note applicable sales tax apply for the following states: New Jersey and Texas.
- **2. Return Shipping Address (required)** Provide your return shipping address and contact details here (name, address, city, state, zip, phone and email).
- 3. Service Group Select one of the two Service Group types listed: Standard or Gold Shield. The entire order must be either Standard or Gold Shield. You cannot mix Service Groups on the same submission.

Any World or NON-show submissions should be processed on the appropriate submission form.

- GOLD SHIELD: Coin is imaged in high resolution, registered, and checked against PCGS' vast proprietary imaging database. Includes TrueView image and enhanced security with the PCGS Gold Shield icon.
- **4. Genuine Option** All coins submitted are subject to being deemed as Genuine (not gradeable). Select only one of the two options:
 - 1. Genuine with Details (Default)
 - 2. Do not holder Genuine-only coins.

FEES: Full grading fee will be charged with either selection. Please visit PCGS.com/grades for examples.

Select type of submission and service level desired. **ONLY ONE TYPE OF SUBMISSION AT ONE SERVICE LEVEL IS PERMITTED PER FORM**.

- **5. Service Type** Select one Service Type.
- GRADING: For raw coins (not currently encapsulated). You may (but are not required
 to) specify a minimum acceptable grade. Coins graded below the minimum acceptable
 grade will not be holdered; however, the full grading fee will be charged.
- REGRADE: For a coin previously graded by PCGS you feel might be worthy of a higher grade, submit coin in the holder. The coin will be removed from the PCGS holder and regraded. Grading fees will apply whether the coin upgrades or not. Coins that do upgrade will also be charged the Guarantee Premium* based on the value of the coin. If the coin grades lower, the terms of the PCGS guarantee will apply. For full disclosure of the PCGS guarantee, visit PCGS.com/Guarantee.
- CROSSOVER: For a coin previously graded (currently encapsulated) by another grading service. PCGS will holder the coin only if it meets or exceeds your specific request notated in the "Minimum Grade" column on the front of the form. Grading fees will apply whether the coins crosses or not. Coins that do cross will also be charged a Guarantee Premium* based on the value of the coin. Please specify one of the options below in the "Minimum Grade" column on the front of the form. If you leave this area blank, PCGS will assume the minimum grade is the grade currently on the holder.
- Minimum Grade Options:
- CURRENT Requests coin to cross at grade listed on holder.
- ANY Requests coin to cross at any numerical grade (01-70).

- DETAILS Requests coin to cross at any numerical grade or Genuine no-grade.
- OTHER GRADE If you would like to specify a different grade than listed on the holder, write your acceptable numerical grade (include suffix if applicable).

Note the following limitations apply:

- » You cannot specify a minimum details grade for existing genuine-holdered coins.
- » Qualifying NGC First Strike, First Day of Issue or equivalent may be recognized
- » Minimum grades are not accepted for regrade or reconsideration service types.
- REHOLDER: Re-encapsulation of PCGS-graded coin. Coin will not be regraded. Coins valued over \$2,500 require Gold Shield.
- RECONSIDERATION: Use the Reconsideration service if you would like to have your coin regraded without being removed from its current PCGS holder. The coin will only be removed if it upgrades. Select one of the options below.
- 1. Upgrade by full numeric grade (*Default*). If your coin's grade is determined to improve by one numeric point or more (i.e. 63 to 64 or 63+ to 64).
- 2. Upgrade by Plus grade **ONLY**. If your coin's grade is determined to improve by a Plus grade (i.e. 58 to 58+).
- 3. Upgrade by Suffix. If your coin's designation is determined to improve by suffix even if the coin's grade does not improve (i.e. PL to DMPL, CA to DC, BN to RB).
- 4. Any: Will accept options 1, 2, or 3.

Coins that upgrade will be removed from the current holder, given the new grade and/ or suffix, and placed in a new holder.

FEES: Grading fees will apply whether the coin upgrades or not. Coins that upgrade will also be charged the Guarantee Premium*.

- GUARANTEE PREMIUM*: Equal to 1% of the value of the coin in its final grade. The value of the coin is determined by the PCGS Price Guide value for that grade or the Declared Value of the coin, if the Price Guide value is not available. The minimum amount for the Guarantee Premium is \$10. PCGS reserves the right to make the final determination of the coin's value.
- GUARANTEE RESUBMISSION: If you have a PCGS-graded coin that you feel is overgraded, misattributed, or counterfeit, submit the coin to PCGS through the Guarantee Resubmission Service. Afee of \$25 per coin must accompany all submissions through this service (Standard or Gold Shield). If your coin is downgraded, the terms of the PCGS Guarantee will apply and all fees and charges related to the Guarantee Resubmission will be refunded. Guarantee Resubmissions cannot be completed at a Show. Please submit using the appropriate US or World Submission form. Additional guarantee terms and conditions apply. Please visit PCGS.com/guarantee for more information.
- **6. Service Level** Select only one Service Level. Service Level is based on the declared value per item listed. The individual declared values cannot exceed the maximum value for the service level that is selected. You may select a higher service level for a quicker turnaround time. For a list of eligible Tokens and Medals we certify, please visit PCGS.com/TokensAndMedals.

Please note: For the Rarities Reholder** service level, please specify if you would like the Rarities holder or standard holder (default). Rarities holder cannot be completed at a Show. The submission will be pended to PCGS Headquarters for encapsulation.

7. Coin Details - List each coin's description. For multiple coins of same date, denomination, mint mark, and variety, you may list total quantity and write on one line. For coin number, refer to PCGS Population Report or PCGS Coin Numbering System (optional). For the date of each coin, include mintmark (i.e. 1880-S). If coin is a commemorative, list name of issue. List denomination of each coin (ex. 1¢, \$1, \$10). Indicate Declared Value for each coin. If no value is assigned, you authorize PCGS to assign a \$50 value per coin.

ADDITIONAL / ADD-ON SERVICES

- TrueView Imaging (Add \$5 per coin) PCGS.com/Trueview
- First Strike (Add \$18 per coin) PCGS.com/Firststrike

Please note: If you are submitting a PCGS encapsulated coin for Variety Attribution or TrueView Imaging service, you are responsible for the corresponding grading or reholder cost.

- 8. Alternate Return Shipping Select an alternate shipping method and delivery speed. An account number must be provided. Add \$4 per order when using alternate shipping methods. Authorized Dealers may pick up at PCGS by scheduling an appointment in advance.
- 9. Fee Calculation Calculate all appropriate fees for the submission. Show submissions not picked up by the end of regular PCGS show hours will be shipped to you with the appropriate shipping fees added plus an additional \$4 per order. For return shipments, signature is required upon delivery. Do not send postage stamps for the return of your

- package. Only PCGS Authorized Dealers are eligible to drop off and pick up orders at PCGS Headquarters.
- 10. Payment Method Include check, money order or credit card info. You must include payment or your order will not be processed. On orders that the Guarantee Premium* will apply, PCGS requests a credit card as method of payment to help ensure quick processing of your order. All fees must be paid in USD.
- 11. Terms & Conditions Sign and date bottom of form after reading the Terms & Conditions below. Order will not be processed without signature. Keep gold copy for your records.

Package coins and submission form carefully. Name of service must be clearly marked on outside of package. This will help expedite the processing of your order. Ship via U.S. Mail (registered, insured) or USPS Express Mail to PCGS, P.O. Box 9458, Newport Beach, CA 92658. For FedEx Express shipments, mail to CU RECEIVING, 7000 Barranca Pkwy, Irvine, California 92618. Packages to PCGS must be insured. For international shipments the customer is liable for any duties and taxes assessed on the shipment to and from PCGS.

All terms and conditions are subject to change. Estimated turnaround times do not include mailing time and reflect business days. IF MORE THAN ONE SERVICE GROUP, SERVICE TYPE, GENUINE OPTION, OR SERVICE LEVEL IS INCLUDED ON ANY ONE FORM, YOU AUTHORIZE PCGS TO SEPARATE THE ORDER FOR PROCESSING AND AGREE TO PAY ANY ADDITIONAL GRADING, GUARANTEE PREMIUM, HANDLING AND SHIPPING FEES THAT MAY APPLY.

DOMESTIC SHIPPING & INSURANCE RATE CHART

	TOTAL DECLARED VALUE								
Number of Coins	\$1 - \$1,000	\$1,001 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$25,000	\$25,001 - \$50,000	\$50,001 - \$75,000	\$75,001 - \$100,000	\$100,001 - \$150,000	\$150,001 - \$200,000
1 - 4	\$27.00	\$33.00	\$41.00	\$46.00	\$62.00	\$67.59	\$74.25	\$109.95	\$155.10
5 - 25	\$32.00	\$38.00	\$46.00	\$53.00	\$71.00	\$76.35	\$81.60	\$120.45	\$176.00
26+	add .25 per coin	add .25 per coin	add .25 per coin	add .25 per coin	add .50 per coin	add .50 per coin	add .50 per coin	add .50 per coin	add .50 per coin
For packages over \$200,000, please call Customer Service.									

INTERNATIONAL SHIPPING & INSURANCE RATE CHART - \$100,000 MAXIMUM

NUMBER OF COINS	CANADA	EUROPE	ALL OTHER COUNTRIES
1-10 coins	\$59.40	\$65.84	\$77.94
11-20 coins	\$63.35	\$70.97	\$83.19
21-30 coins	\$67.30	\$76.11	\$88.43
31-40 coins	\$75.40	\$85.96	\$100.66
41-60 coins	\$87.57	\$100.72	\$118.99
Over 60 coins	\$1.41 per coin	\$1.75 per coin	\$1.75 per coin

All orders are returned fully insured with signature required. Shipments requesting specific shipping carriers, may be charged additional Shipping fees.



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SUBMISSION

PCGS P.O. Box 9458, Newport Beach, CA 92658 **PCGS USE ONLY** ☐ Join the Collectors Club (Automatic Renewal) 800-447-8848 • Fax: 949-567-1253 ○ SILVER \$69 ○ GOLD \$149 ○ PLATINIIM \$249 PCGS.com · info@PCGS.com Membership Required for Submission SERVICE: Member Name / Number: DV 2 RETURN SHIPPING ADDRESS (REQUIRED) ORDER#____BOX ____ NAME ADDRESS _ CITY, STATE, ZIP ____ INV. TYPE PHONE (____ DATE RECEIVED GENUINE OPTION (ONE PER FORM) APPLICABLE FOR COINS DEEMED NOT GRADABLE ☐ HOLDER COINS WITH GENUINE DETAIL GRADES (DEFAULT) ☐ DO NOT HOLDER **SERVICE TYPE (ONE PER FORM)** ☐ GRADING ☐ REGRADE (+1%GP) CROSSOVER (+1%GP) ☐ REHOLDER ☐ RECONSIDERATION (+1%GP): O FULL GRADE (DEFAULT) O ANY O SUFFIX SERVICE LEVEL (ONE PER FORM) 💮 ALL SERVICES INCLUDE PCGS GOLD SHIELD AND NFC ANTI-COUNTERFEITING TECHNOLOGY MAX. COIN VALUE GRADING FEE MAX. COIN VALUE SERVICE LEVEL MAX. COIN VALUE GRADING FEE ☐ SHOW RARITY NONE SHOW FCONOMY (5 COIN MIN) \$2.500 ☐ REHOLDER \$100,000 FND OF SHOW \$300 (+1%GP) 24 HOURS \$70 END OF SHOW \$25 ☐ SHOW EXPRESS \$100,000 24 HOURS SHOW GOLD (U.S. ONLY - 10 COIN MIN) \$3,000 END OF SHOW ☐ RARITIES REHOLDER** NONE \$300 END OF SHOW ☐ SHOW \$100,000 \$150 END OF SHOW SPECIAL LABEL: ☐ OTHER: 6 **COIN DETAILS** FOR ENCAPSULATED COINS ONLY DATE/MINT MARK COIN DESCRIPTION/VARIETY TRUE VIEW (\$5) 2 П П 3 5 6 10 11 13 14 15 16 17 П 18 20 TOTAL # OF COINS TOTAL DECLARED VALUE TOTAL ADD ON FEES. ALTERNATE RETURN SHIPPING (OPTIONAL) AND \$4 PER ORDER - IF USING ALT. SHIPPING OPTION YOU MUST PROVIDE YOUR OWN ACCOUNT # AND INSURANCE COVERAGE SHIPPING TYPE: PICK UP FEDEX EXPRESS MAIL DELIVERY SPEED SIGNATURE REQUIRED: ☐ YES ■ NO ACCOUNT# _ INSURANCE LIMIT (PERSONAL COVERAGE) 9 PAYMENT METHOD (REQUIRED) FEE CALCULATION (REQUIRED) ALL FEES MUST BE PAID IN USD FEE DESCRIPTION #OF COINS FEE TOTAL PAYMENTTYPE: ☐ CASH ☐ CC ☐ LOC ☐ CCOF ☐ CHECK/MONEY ORDER# SERVICE LEVEL FEE CREDIT CARD NUMBER ___ SPECIAL LABEL FEE EXPIRATION DATE __ _CARDHOLDER SIGNATURE ADD ON FEES **AUTHORIZED SIGNATURE / TERMS & CONDITIONS** HANDLING FEE \$10.00 TERMS AND CONDITIONS: I HAVE READ AND AGREE TO THE PCGS GRADING TERMS AND CONDITIONS ON THE BACK OF THIS FORM AND I ACCEPT FULL RESPONSIBILITY FOR **CC MEMBERSHIP FEE** COMPLETELY AND ACCURATELY FILLING OUT THE SUBMISSION FORM. IF COINS ARE SUBMITTED FOR SERVICES FOR WHICH THEY DO NOT QUALIFY. SIGNATURE BELOW AUTHORIZES PCGS TO CORRECT THE ORDER AND CHARGE ANY ADDITIONAL GRADING, GUARANTEE PREMIUM, HANDLING AND SHIPPING FEES THAT MAY APPLY. RETURN SHIPPING FEE **ESTIMATED TOTAL CHARGES** AUTHORIZED SIGNATURE (REQUIRED) ORDER WILL NOT BE PROCESSED WITHOUT SIGNATURE

PCGS GRADING TERMS & CONDITIONS

By signing the front side of this form, Customer acknowledges that they have read the PCGS Grading Terms and Conditions (the "Agreement") set forth below and agrees to abide by this Agreement, and further agrees that PCGS is entitled to rely upon and benefit from this Agreement.

- PCGS will endeavor to grade coins within the time frame, if any, offered as part of a PCGS grading service. However, PCGS will have no liability whatsoever to Customer for incidental or consequential damages due to PCGS's failure to grade any coin within any time frame. All days are business days.
- 2. PCGS will not grade coins which bear evidence of harsh cleaning, artificial toning, damaged surfaces, excessive major marks, minor planchet flaws, altered surfaces, PVC damage, Doctoring or similar impairments. If PCGS rejects any coin for grading in accordance with PCGS's grading standards and procedures, PCGS shall not refund the fee paid by Customer.

Customer represents and warrants that it has no knowledge and no reasonable basis to believe that any coin submitted for grading has been altered or Doctored in any way. For purposes of this Agreement, "Doctored" shall have the meaning set forth in the applicable PCGS Authorized Dealer Agreement or PCGS Collectors Club Agreement by and between Customer and PCGS (the "Customer Agreement"). If Customer has not entered into the Customer Agreement, Customer hereby agrees to be bound by the terms of the Customer Agreement, a copy of which can be found at https://www.pcgs.com/resources/pdf/collectors-club-agreement.pdf, and Customer's signature on this Agreement shall constitute Customer's signature on the Customer Agreement. Customer reaffirms its obligations with respect to Doctored coins set forth in the Customer Agreement.

Customer warrants that all information provided hereunder is accurate and reliable and undertakes to promptly correct and update such information if Customer discovers any such information is inaccurate.

- 3. Grading involves individual judgments that are subjective and require the exercise of professional opinion, which can change from time to time. Therefore, PCGS makes no warranty or representation and shall have no liability whatsoever to Customer for the grade assigned by PCGS to any coin, except pursuant to PCGS's Guarantee resubmission as set forth on PCGS's website at pcgs.com/Guarantee.
- 4. PCGS will exercise reasonable care in handling coins submitted for grading, regrading or reholdering. However, if PCGS determines that the Customer's coin was lost or damaged while in PCGS possession, Customer will be compensated based upon the fair market value of the coin as determined by PCGS standard procedures which may include filing a claim with our insurance carrier. The declared value you stated on the front of this form is for estimating the insurance coverage only, and the fair market value of the coin may be less than your declared value. IN NO EVENT SHALL THE TOTAL LIABILITY EXCEED THE DECLARED VALUE OF THE COIN. PCGS accepts no liability for coins with soldered and/or glued components as these types of items can be damaged even when handled properly. PCGS will not accept liability for items lost while not in the custody or control of PCGS.
- 5. Customer must inspect all coins immediately upon receipt and PCGS disclaims any liability for damage or discrepancies or errors, including but not limited to errors in the description of the coin, unless reported to PCGS within five (5) days of Customer's receipt of the coin(s). Customer agrees to return any incorrectly described coin to PCGS upon request for correction and agrees to indemnify and hold PCGS harmless from any and all losses and/or claims caused by the circulation or sale of any incorrectly described coin. Failure to report such errors may cause suspension or expulsion of

- membership from the Collectors Club or PCGS Authorized Dealer Network. PCGS shall not be liable for any direct loss or damage suffered by the Customer howsoever caused, as a result of any negligence, breach of the terms, or otherwise in excess of the price of the services.
- 6. Customer agrees (a) to pay to PCGS all fees and other charges when due; (b) that any delinquent balances shall accrue interest at the rate of 10% per year until paid (or, if less, the maximum interest rate permitted by applicable law); and (c) that PCGS shall have a security interest in the coins submitted, as well as in any other property of Customer in the possession of PCGS or its affiliates (collectively, the "Property"), to secure payment thereof. Fees paid to PCGS are NON-REFUNDABLE once the item begins the grading process. Customer hereby grants to PCGS an assignment of and lien against the Property in the amount of any fees and other charges due and payable pursuant to the terms of this Agreement. Customer hereby authorizes PCGS to file, at any time on or after the date such fees and other charges become due, appropriate uniform commercial code financing statements in such jurisdictions and offices as PCGS deems necessary in connection with the perfection of a security interest in the Property. If PCGS determines, in its sole discretion and at any point in the process, that the submitted Declared Value has been understated relative to the market value of the item, or the submission form provided by the customer has been incorrectly or incompletely filled out, PCGS reserves the right to adjust the PCGS Grading Fees (including any Shipping or Handling fees) accordingly. Customer acknowledges and agrees that PCGS may adjust/increase any fees as necessary without explicit customer notification.
- 7. PCGS shall have no liability whatsoever to Customer, or any third party for whom Customer may be acting, for (i) any personal injury or (ii) for any damage to any coin, or otherwise, that Customer is unable to demonstrate was attributable primarily to an act or omission to act by PCGS while the coin was in its possession and control, except for any damage to a coin resulting from the breaking open by PCGS of a PCGS or other coin holder in which the coin may have been submitted for grading to PCGS. PCGS shall not be liable under any circumstances to the Customer or any third party for any indirect or consequential loss of profit or other economic loss suffered by the Customer howsoever caused, as a result of any negligence, breach of contract, misrepresentation, or otherwise.
- Note all terms and conditions are subject to change. Turnaround times do not include mailing time and reflect business days.
- 9. Except as expressly set forth herein to the contrary, PCGS DISCLAIMS ANY AND ALL WARRANTIES, EXPRESSED OR IMPLIED, REGARDING PCGS' GOODS AND SERVICES, INCLUDING BUT NOT LIMITED TO THE WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE.
- 10. Notwithstanding anything to the contrary contained herein, except with respect to the remedies under the PCGS Guarantee of Grade and Authenticity set forth at PCGS. com/Guarantee (the "Guarantee"), The Maximum aggregate liability that PCGS shall have to customer, or any third party for whom the customer may be acting, arising from any cause, act, omission or other circumstance, shall in no event exceed the grading fee or less actually paid by customer for the grading services rendered by PCGS with respect to the coins submitted for grading hereunder. In no event shall PCGS or any of its affiliates, or any of its or their respective employees, officers, directors or agents, be liable to customer or any other party for any indirect, incidental, special, consequential or exemplary damages, even if advised of the possibility of such damages.

- 11. In the ordinary course of its grading operations, PCGS (i) compiles data regarding each coin submitted for grading, including, but not limited to, data relating to the identity, minting, condition and grade of the coin (the "Data"); and (ii) may take, or have taken, one or more digital or other types of photographs, images or reproductions of each such coin (collectively, the "Images"). In consideration for the grading services being provided by PCGS, Customer, on behalf of itself and any third party for whom Customer may be acting, hereby authorizes PCGS (i) to compile and maintain such Data with respect to each coin submitted hereunder for grading; and (ii) to take, or cause to be taken, one or more Images of each such coin, and further agrees that PCGS will be the owner of such Data and all such Images and that PCGS may use and exploit such Data and the Images for commercial and any other purposes, as PCGS in its sole discretion deems appropriate, including, but not limited to, the publication and republication or reproduction in or on any media, of such Data and Images. Without limiting the generality of the foregoing, Customer, on behalf of itself and any third party for whom Customer may be acting with respect to this agreement, unconditionally and irrevocably transfers, conveys and assigns to PCGS any and all current and any hereafter acquired rights, title and interests (including, without limitation, rights in copyright, patent, trade secret and trademark) that Customer or any such third party may have in or to the Data and the Images (on whatever media or in whatever form such Images may be reproduced or published).
- 12. If any coins are being submitted for a third party, Customer represents and warrants that such third party has agreed and accepted this Agreement and has signed a duplicate copy hereof where indicated. Customer agrees to provide that third partysigned copy to PCGS at any time upon its request.
- 13. This Agreement is delivered and accepted in the State of California and it is the intention of the parties that it be governed by and construed in accordance with the substantive laws of that State, without regard to conflicts of laws principles.

- The parties hereby consent to personal jurisdiction of the courts of the State of California with respect to any legal action to enforce the terms and conditions of this Agreement or otherwise arising under or with respect to this Agreement, and agree that the Superior Court of California, County of Orange, or, if applicable, federal District Court sitting in the County of Orange, State of California, shall be the sole venue, and the State of California shall be the sole forum, for the bringing of such action. Each of Customer and PCGS agrees that the prevailing party shall be entitled to an award of its reasonable attorney's fees, costs and expenses.
- 14. The terms and provisions in this Agreement and the Customer Agreement, if applicable, constitute the entire agreement of PCGS and Customer (and any third party for whom Customer may be acting) regarding, and supersede all prior agreements and understandings (written or oral) between or among such parties relating to, the subject matter hereof. Except for the dispute resolution provision set forth in Section 24 of the PCGS Authorized Dealer Agreement (which shall control over Section 13 of this Agreement), if it is determined that there are any inconsistencies between this Agreement and the Customer Agreement, then this Agreement shall control. If any term or provision of this Agreement is determined, by a final and non-appealable ruling or order of a court of competent jurisdiction, to be invalid or unenforceable under applicable law, such invalidity or unenforceability shall not affect the validity or enforceability of any of the other of the terms or provisions of this agreement. Each party shall execute and deliver such additional documents and instruments as any other party may request to better evidence or effectuate the agreements contained herein, including the assignment of rights set forth in Section 11, and procedures, and further agree that PCGS is entitled to rely upon and benefit from those terms and procedures.

Customer: Sign front of form.